

# Lattice-based (Post Quantum) Cryptography

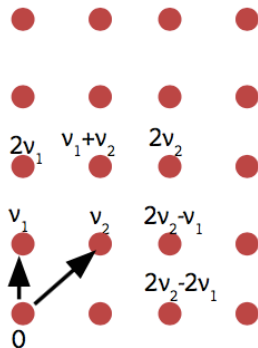
Divesh Aggarwal

Center of Quantum Technologies, Singapore

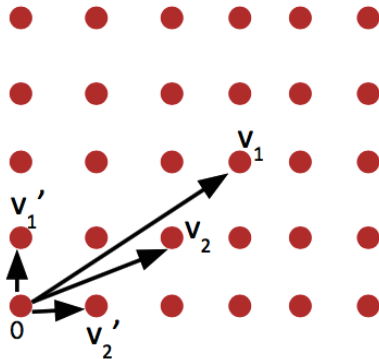
February 8, 2018

# Lattices

- A lattice is a set of points
- $L = \{a_1 v_1 + \dots + a_n v_n \mid a_i \text{ integers}\}$ .  
for some linearly independent vectors  $v_1, \dots, v_n \in \mathbb{R}^n$ .
- We call  $v_1, \dots, v_n$  a basis of  $L$ , and  $n$  the dimension of the lattice.



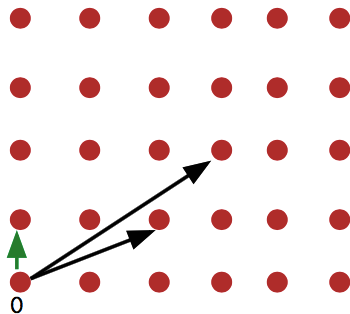
# Basis is Not Unique



Good Basis:  $v'_1, v'_2$

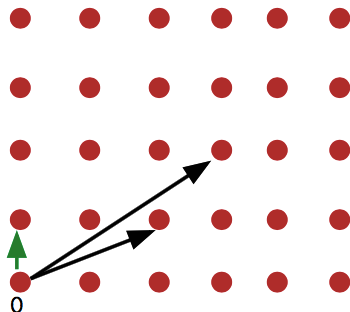
Bad Basis:  $v_1, v_2$

# Hard Problems



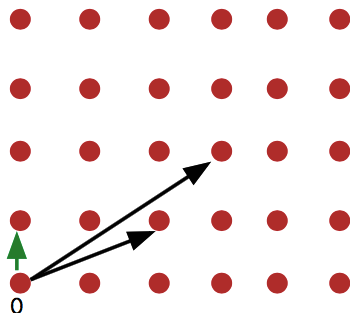
- SVP: Given a lattice, find the **shortest non-zero vector** (of length  $\lambda_1$ ).

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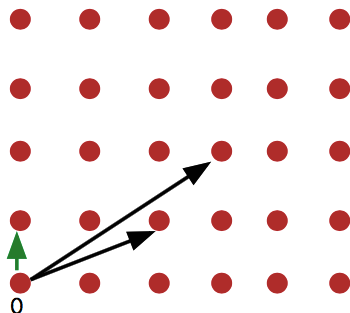
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- ApproxSVP $_{\gamma}$ : Given a lattice basis, find a vector of length  $\gamma \cdot \lambda_1$ .

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- ApproxSVP $_{\gamma}$ : Given a lattice basis, find a vector of length  $\gamma \cdot \lambda_1$ .
- GapSVP $_{\gamma}$ : Given a basis and  $d$ , decide whether  $\lambda_1 \leq d$  or  $\lambda_1 > \gamma \cdot d$ .

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- There are also other hard problems like CVP, SIVP

# GapSVP $_{\gamma}$ - Algorithms and Complexity

$\gamma$	$2^{(\log n)^{1-\epsilon}}$	$\sqrt{n}$	$n^{O(1)}$	$(1 + \epsilon)^n$
	NP Hard Problem [Ajt98,..., HR07]	$\in$ co-NP [GG98, AR05]	Cryptography [Ajtai96,...]	$\in$ P [LLL82, Sch87]

- Fastest known algorithms for  $\gamma = r^{n/r}$  run in  $2^{O(r)}\text{poly}(n)$  time, i.e.,  
For  $\gamma = \text{poly}(n)$ , the running time is exponential in  $n$ .
- In particular, fastest known algorithm for  $\gamma$  close to 1 runs in time  $2^{n+o(n)}$  [ADRS15, AS18]
- Under the Gap exponential time hypothesis, no algorithm can solve GapSVP $_{\gamma}$  for a constant  $\gamma \approx 1$  in time better than  $2^{cn}$  for some constant  $c$  [AS17].



# Lattices and Cryptography

- The first applications included attacking knapsack-based cryptosystems [LagOdl85] and variants of RSA [Has85,Cop01].
- Lattices began to be used to create cryptography starting with a breakthrough work of Ajtai[Ajt96].
- Cryptography based on lattices has many advantages compared with 'traditional' cryptography like RSA:
  - ▶ It has strong, mathematically proven, security.
  - ▶ It is believed to be resistant to quantum computers.
  - ▶ In some cases, it is much faster.
  - ▶ It can do more, e.g., fully homomorphic encryption, which is one of the most important cryptographic primitives.

# Lattice-based Crypto

- Public-key Encryption [Reg05,KTX07,PKW08]
- CCA-Secure PKE [PW08,Pei09].
- Identity-based Encryption [GPV08]
- Oblivious Transfer [PVW08]
- Circular Secure Encryption [ACPS09]
- Hierarchical Identity-based Encryption [Gen09,CHKP09,ABB09].
- Fully Homomorphic Encryption [Gen09,BV11,Bra12].
- And more...

# Talk Outline

- GGH Public Key Encryption Scheme
- LWE Problem and Applications
- Efficiency from Rings
- Recent Implementations.

# GGH Public Key Encryption Scheme

# Public key encryption

Suppose Alice wants to send a message  $m$  privately to Bob over a public channel.

**Key Generation:** Bob generates a pair  $(pk, sk)$ .

**Encryption:** Alice sends  $c = \text{Enc}(pk, m)$  to Bob.

**Decryption:** Bob obtains the message  $m = \text{Dec}(sk, m)$ .

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**Security:** An eavesdropper shouldn't learn anything about the message given the public-key and the ciphertext.

# Digital Signatures

Suppose you wish to digitally sign the message  $m$ .

**Key Generation:** The algorithm generates a pair  $(pk, sk)$ .

**Sign:** A tag for the message is computed using the signing algorithm

$$t = \text{Sign}(sk, m) .$$

**Verify:** The signature can be verified using the public key

$$\text{Verify}(pk, t', m') \in \{\text{True}, \text{False}\} .$$

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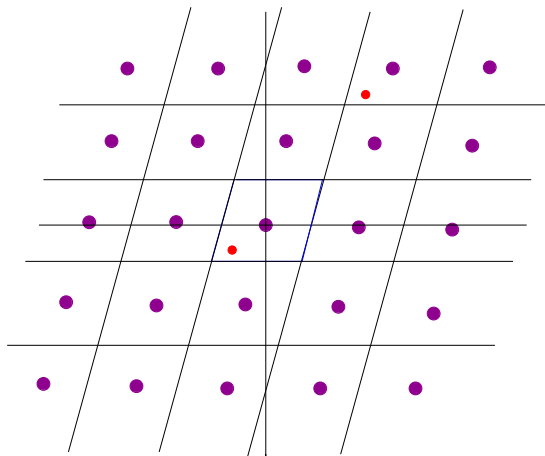
**Verify:** The signature can be verified using the public key

$$\text{Verify}(pk, t', m') \in \{\text{True}, \text{False}\} .$$

**Security:** An eavesdropper shouldn't be able to forge a signature given a valid signature and the public key.



## Reducing a vector modulo a basis

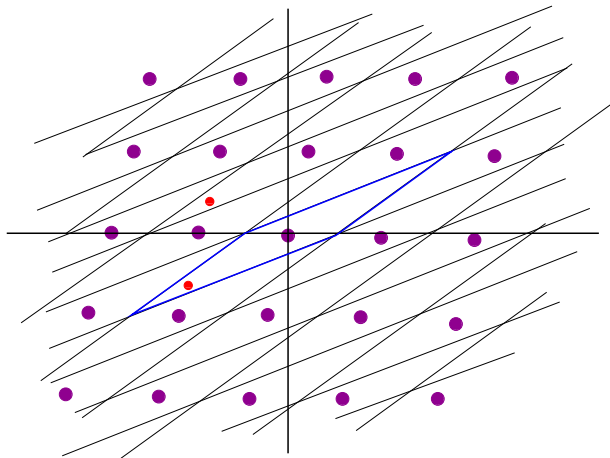


$$u \bmod B = u - B \lceil B^{-1} t \rceil.$$

If  $u = \alpha_1 \cdot u_1 + \dots + \alpha_n \cdot u_n$ , then

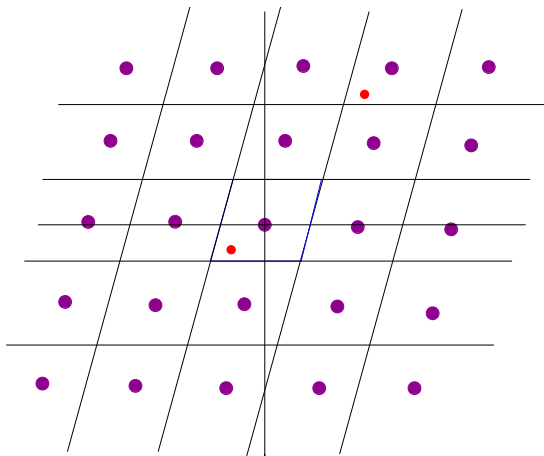
$$u \bmod B = (\alpha_1 - \lfloor \alpha_1 \rfloor) \cdot u_1 + \dots + (\alpha_n - \lfloor \alpha_n \rfloor) \cdot u_n.$$

# Bad Basis



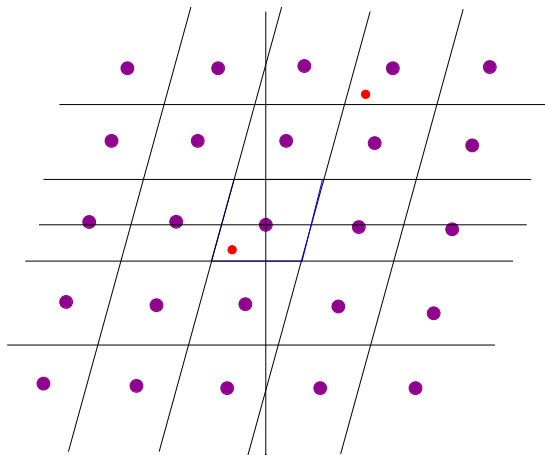
$u \bmod B$  is likely a long vector.

# Good Basis



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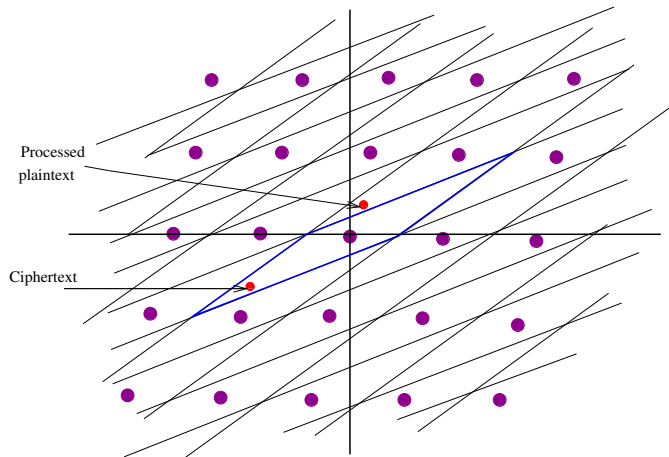


$u \bmod B$  is a short vector.

Note that  $u - u \bmod B$  is a lattice vector close to  $u$ .

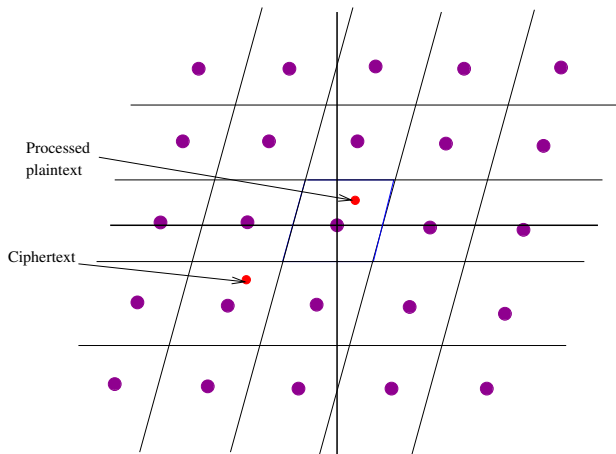
# The encryption scheme

- Map the message  $m$  to a vector close to the origin.
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- Map the message  $m$  to a vector close to the origin.
- Encryption:  $c = m \bmod B_{pk}$  (public basis)
- Decryption:  $m = c \bmod B_{sk}$  (secret basis)



# More on the GH Scheme

- There is a dual digital signature scheme based on the same principle.
  - ▶ Map the message  $m$  to a random vector in space.
  - ▶ Signature:  $t = m - m \bmod B_{sk}$  (secret(good) basis) resulting in a lattice vector close to  $m$ .
  - ▶ Verification: Use the public (bad) basis to check whether  $t$  is a lattice vector and that  $t - m$  is short.

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- The principle of GGH, however, has been used in several follow-up schemes.
  
- In fact, the first fully homomorphic encryption scheme candidate by Gentry [2009] was based on the same principle.

# Talk Outline

- GGH Public Key Encryption Scheme
- LWE Problem and Applications
- Efficiency from Rings
- Recent Implementations.

# LWE Problem and Applications

# Learning with Errors Problem [Regev05]

- Parameters: Dimension  $n$ , modulus  $q = \text{poly}(n)$ , error distribution
- Search: Find uniformly random secret  $\mathbf{s} \in \mathbb{Z}_q^n$  given

$$\mathbf{A} = \begin{bmatrix} A_{11} & A_{12} & A_{13} & \dots & A_{1n} \\ A_{21} & A_{22} & A_{23} & \dots & A_{2n} \\ \dots & \dots & \dots & \dots & \dots \\ A_{m1} & A_{m2} & A_{m3} & \dots & A_{mn} \end{bmatrix} \quad \text{and} \quad \mathbf{b} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e},$$

where  $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$  is chosen uniformly at random and  $\mathbf{e} \in \mathbb{Z}_q^m$  is some 'short noise distribution'.

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- Worst case to Average Case:

Break Crypto  $\implies$  Decision LWE  $\implies$  Search LWE  $\implies$  GapSVP $_{\text{poly}(n)}$

# A PKE scheme from decision LWE hardness

Suppose Alice wants to send a bit  $\mu \in \{0, 1\}$  privately to Bob over a public channel.

**Key Generation:** Bob chooses  $q, m, n$  and  $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$ ,  $\mathbf{s} \in \mathbb{Z}_q^n$  are chosen uniformly at random and  $\mathbf{e} \in \mathbb{Z}_q^m$  is chosen from the 'LWE noise distribution'. Then

$$pk = \mathbf{A}, \mathbf{b} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e}, \quad sk = \mathbf{s}.$$

**Encryption:** Alice chooses uniform  $r \in \{0, 1\}^m$  and sends

$$c = (r \cdot \mathbf{A}, r \cdot \mathbf{b} + \mu \cdot \lfloor \frac{q}{2} \rfloor).$$

**Decryption:** Bob on receiving the ciphertext  $(C_1, c_2)$  checks whether  $c_2 - C_1 \cdot \mathbf{s}$  is closer to 0 or  $\lfloor \frac{q}{2} \rfloor$  and hence deciphers the message bit  $\mu$ .



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**Security** is almost immediate from the Decision LWE Hardness assumption. The ciphertext looks random given the public key.

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- GGH Public Key Encryption Scheme
- LWE Problem and Applications
- Efficiency from Rings
- Recent Implementations.

## Efficiency from Rings.

# How efficient is LWE?

- Getting **one** pseudorandom  $b_i \in \mathbb{Z}_q$  requires an  **$n$ -dimensional** inner product modulo  $q$ .
- Cryptosystems have larger keys of size larger than  $n^2 \log q$ .
- Wishful thinking:

$$(a_1, a_2, \dots, a_n) \star (s_1, s_2, \dots, s_n) + (e_1, e_2, \dots, e_n) = (b_1, b_2, \dots, b_n).$$

- ▶ Get  $n$  pseudorandom elements in  $\mathbb{Z}_q$  from one inner product.
- ▶ Replace every  $n^2$  length key by a key of length  $n$ .

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- Question: How to define the  $\star$  operation?
    - ▶ With small error, co-ordinate wise multiplication is insecure.

# LWE over Rings

- Let  $R = \mathbb{Z}[X]/(X^n + 1)$ , and  $R_q = R/qR$ .

- ▶ Operations in  $R_q$  are very efficient using algorithms similar to FFT.

- Search: Find secret  $\mathbf{s} \in R_q$  given

$$a_1 \leftarrow R_q, \quad b_1 = a_1 \cdot \mathbf{s} + \mathbf{e}_1$$

$$a_2 \leftarrow R_q, \quad b_2 = a_2 \cdot \mathbf{s} + \mathbf{e}_2$$

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- Worst case to Average Case [LPR10, PRS17]

Decision R-LWE  $\implies$  Search R-LWE  $\implies$  ApproxSVP<sub>poly(n)</sub> on ideal lattices



# Complexity of SVP on Ideal Lattices

- We know that if we can solve R-LWE, then we can also solve SVP on ideal lattices.
- The other direction is unknown.
- There has been some recent progress [CDPR16, BS16, K16, CDW16] giving an efficient quantum algorithm for  $2^{O(\sqrt{n \log n})}$  approximation of SVP on Ideal Lattices.
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- Ring-LWE based crypto is more efficient, but *perhaps* less secure.

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## Recent Implementations.

# Key Exchange

- [BCNS15] Ring-LWE based key exchange.
- NewHope [ADPS'15]: Optimized Ring-LWE key exchange with  $\lambda = 200$  bit quantum security.
  - ▶ Comparable to or even faster than current ECDH with 128-bit classical security.
  - ▶ Google has experimentally deployed NewHope + ECDH.
- Frodo [BCDMNRS'16]: Plain-LWE key exchange with some optimizations. Conjectures 128-bit quantum security.
  - ▶ About 10 times slower than NewHope, but almost as fast as ECDH (and much faster than RSA).
- NTRU EES743EP1 [WEJ13].

# Other Implementations

**BLISS:** [DDLL'13] An efficient digital signature scheme based on [Lyu09,Lyu12]

**DILITHIUM:** [DLLSSS17] Another efficient digital signature based on [GLP12] that eliminates some of the vulnerabilities of BLISS.

**HELib:** [HaleviShoup] Implementation of Fully Homomorphic Encryption.

**$\Lambda \circ \lambda$ :** [CroPei'16] A high level framework aimed at advanced lattice cryptosystems.

Lots of ongoing work including many proposals of new post-quantum crypto schemes submitted to NIST.

Questions?